



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

# 5  
7-16-02  
2166  
**RECEIVED**  
JUL 09 2002  
Technology Center 2100

Applicant: **Luis E. GUTIERREZ-SHERIS**

Atty. Doc. No.: **Unisphere-13/3**

Serial No.: **09/829,614**

Filed: **April 10, 2001**

Group Art Unit: **2166**

Confirmation No.: **7999**

**RECEIVED**  
JUL 10 2002  
**GROUP 3600**

Examiner:

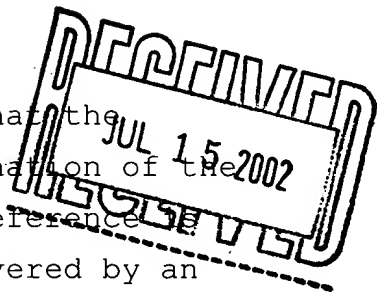
Title: **MONEY-TRANSFER TECHNIQUES**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

S I R:

**DISCLOSURE STATEMENT**

The applicant respectfully requests that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed. If any of these references were uncovered by an international or foreign search as part of a counterpart application filed by the applicants, then a copy of the search report is also enclosed.



**United States Patents**

<u>Patent No.</u>	<u>Issued</u>	<u>Inventor</u>	<u>Class</u>
AA. 6,021,202	Feb. 1, 2000	Anderson et al	380/25
AB. 5,963,647	Oct. 5, 1999	Downing et al	380/24
AC. 5,650,604	Jul. 22, 1997	Marcous et al	235/379

**PCT International Publications**

<u>Publication No.</u>	<u>Date Published</u>
AD. 00/75889	Dec. 14, 2000
AE. 00/67220	Nov. 9, 2000
AF. 00/63809	Oct. 26, 2000
AG. 99/18549	Apr. 15, 1999

**REMARKS**

Under Rule 37 C.F.R. § 1.98(a) (effective March 16, 1992), the applicants submit that no specific comments are necessary for the above-cited English language references.

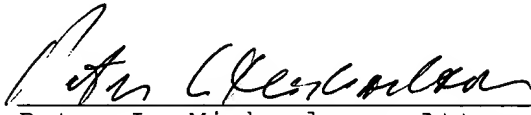
For the Examiner's convenience, the applicants have also enclosed a completed modified form PTO-SB/08A.

Lastly, in accordance with Rule 37 C.F.R. §§ 197.7(c) and 1.97(e)(1), the undersigned attorney certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing this statement. In this regard, the communication from the PCT Patent Office is dated June 25, 2002 and forwarded the PCT Search Report; a copy of this

communication and, as noted above, the search report is enclosed herewith.

Respectfully submitted,


July 1, 2002

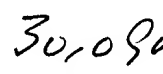
  
Peter L. Michaelson, Attorney  
Reg. No. 30,090  
Customer No. 007265  
(732) 530-6671

MICHAELSON & WALLACE  
Counselors at Law  
Parkway 109 Office Center  
328 Newman Springs Road  
P.O. Box 8489  
Red Bank, New Jersey 07701

**CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited on **July 2, 2002** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Signature

  
Reg. No.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

**Complete if Known**

<b>Application Number</b>	09/829,614
<b>Filing Date</b>	April 10, 2001
<b>First Named Inventor</b>	Luis E. GUTIERREZ-SHERIS
<b>Group Art Unit</b>	2166
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	Unisphere-13/3

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

International Search Report Included

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.